

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Atty. Docket: POU920030132US1  
John K. ALEX et al. :  
Serial No. Not Yet Assigned : Applications Branch  
Filed: HEREWITH :  
For: *POLICY DRIVEN AUTONOMIC COMPUTING - SPECIFYING RELATIONSHIPS*

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

The attached Form PTO-1449 provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that other art than that identified exists; nor that the information provided is prior art; nor that a search has been made.

This IDS is submitted under:

- ☒ 37 CFR 1.97(b) - No Fee.  
☐ 37 CFR 1.97(c) - No Fee, with Certification.  
☐ 37 CFR 1.97(c) - Fee.  
☐ 37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge any required fees under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. **09-0463**.

Respectfully submitted,

Date: \_\_\_\_\_

By: \_\_\_\_\_  
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Form PTO-1449 U.S. Dept. of Commerce Patent & Trademark Office  <b>List of Documents Cited by Applicant</b> (Use several sheets if necessary)	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Atty. Docket: POU920030132US1</td> <td style="width: 40%;">Serial No. Not Yet Assigned</td> </tr> <tr> <td colspan="2">Applicant: John K. ALEX et al.</td> </tr> <tr> <td colspan="2">Filing Date: HERewith</td> </tr> </table>	Atty. Docket: POU920030132US1	Serial No. Not Yet Assigned	Applicant: John K. ALEX et al.		Filing Date: HERewith	
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Filing Date: HERewith							

U.S. PATENT DOCUMENTS							
Ex'r's In'l		Document Number	Date	Name	Class	Sub- class	Filing Date, if applicable
	AA1	5,369,570	November 29, 1994	Parad			
	AA2	5,515,524	May 7, 1996	Lynch et al.			
	AA3	5,708,798	January 13, 1998	Lynch et al.			
	AA4	5,893,083	April 6, 1999	Eshghi et al.			
	AA5	6,002,854	December 14, 1999	Lynch et al.			
	AA6	6,078,912	June 20, 2000	Buerger et al.			
	AA7	6,311,175	October 30, 2001	Adriaans et al.			
	AA8	6,490,621	December 3, 2002	Forget et al.			
	AA9	2002/0019864	February 14, 2002	Mayer			
	AB1	2002/0147764	October 10, 2002	Krupczak			

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub- class	Trans'l'n Yes/No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	